# Implementation of the Perfectly Matched Layer to Determine the Quality Factor of Axisymmetric Resonators in COMSOL

M. Imran Cheema and Andrew G. Kirk
ECE Department, McGill University, Montreal, Canada

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### **Outline**

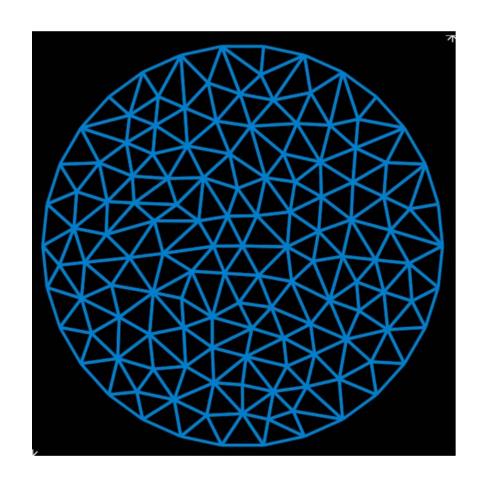
- Introduction
- Whispering Gallery modes
- Previous FEM Model
- Perfectly Matched Layer
- Our FEM Model
- Conclusions





### Introduction

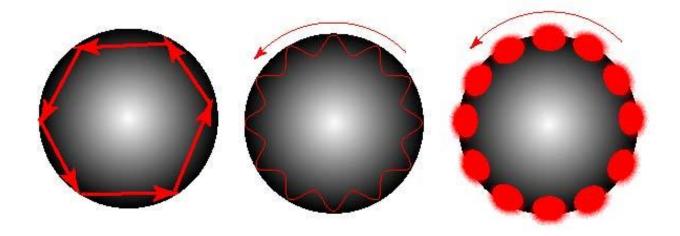
- Accurate electromagnetic model is needed for various axisymmetric optical resonators such as micro-discs and microtoroids
- A COMSOL model for such resonators exists but without perfectly matched layer
- Unwanted reflections from the computation wall reduces the accuracy of the model
- Quality factor determination with high accuracy is important for certain applications







## Whispering Gallery Modes (WGM)



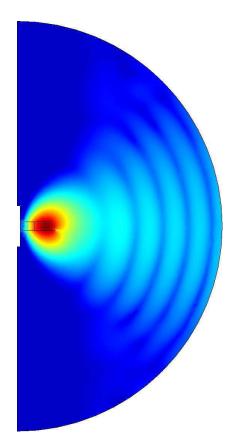
- In open cavities light circulates in the form of WGM
- WGM field does not occupy the whole cavity
- Portion of a WGM field lies outside the cavity





# **Previous Finite Element (FEM) Model**

- Full vectorial model No transverse approximation
- No PML or any other absorbing boundary condition
- Important parameters can be extracted for various cavity geometries:
  - Quality factors
  - Mode Volumes
  - Resonant Frequencies
  - Shapes of fundamental and higher order modes
- Estimated quality factor for the disc resonator is  $1.31 \times 10^7 < Q < 3.82 \times 10^7$ 
  - Prior knowledge of the mode frequency is required
  - Quality factor for one mode at a time
  - Need to change the boundary conditions for each bound and recalculation of the model each time



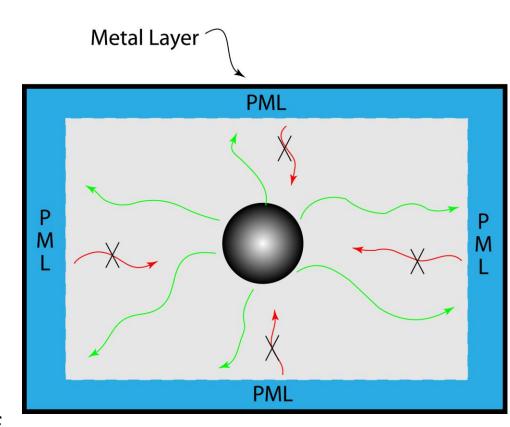
Mark Oxborrow, IEEE Transactions on Microwave Theory and Techniques, 55, 1209-1218, 2007.





# Perfectly Matched Layer (PML)

- Modes of an open optical micro-cavity radiate into surroundings
- PML acts as an artificial boundary to truncate the computation domain
- PML as an anisotropic absorber – modification of the diagonal permittivity and permeability tensors of the absorber







## **PML: Mathematical Details**

#### Oxborrow's Master FEM Equation

$$\int_{V} \left( \vec{\nabla} \times \vec{H}^{*}) \epsilon^{-1} (\vec{\nabla} \times \vec{H}) - \alpha (\vec{\nabla} \cdot \vec{H}^{*}) (\vec{\nabla} \cdot \vec{H}) \right. \\ \left. + c^{-2} \vec{H}^{*} \cdot \frac{\partial^{2} \vec{H}}{\partial t^{2}} \right) dV$$

Modified FEM Master Equation

$$\int_{V} \left( (\vec{\nabla} \times \tilde{\vec{H}}) \vec{\epsilon}^{-1} (\vec{\nabla} \times \vec{H}) - \alpha (\vec{\nabla} \cdot \tilde{\vec{H}}) (\vec{\nabla} \cdot \vec{H}) \right. \\ \left. + c^{-2} \tilde{\vec{H}} \cdot \bar{\mu} \cdot \frac{\partial^{2} \vec{H}}{\partial t^{2}} \right) dV$$

#### PML in cylindrical coordinates

$$\bar{\epsilon} = \epsilon \bar{\Lambda}, \bar{\mu} = \mu \bar{\Lambda},$$

$$\bar{\Lambda} = \left(\frac{\tilde{r}}{r}\right) \left(\frac{s_z}{s_r}\right) \hat{r} + \left(\frac{r}{\tilde{r}}\right) (s_z s_r) \hat{\phi} + \left(\frac{\tilde{r}}{r}\right) \left(\frac{s_r}{s_z}\right) \hat{z}$$

$$s_r = \begin{cases} 1 & 0 \le r \le r_m \\ 1 - jG \left(\frac{r - r_m}{t_p}\right)^2 & r > r_m \end{cases}$$

$$s_z = \begin{cases} 1 & z_{ml} < z < z_{ml} \\ 1 & z_{ml} \le z \le z_{mu} \end{cases}$$

$$r = \begin{cases} 1 & 0 < r < r_m \\ 1 & jG \left(\frac{z - z_{mu}}{t_{zu}}\right)^2 & z > z_{mu} \end{cases}$$

$$\tilde{r} = \begin{cases} r & 0 < r < r_m \\ r - jG \left(\frac{(r - r_m)^3}{3t_r^2}\right) & r > r_m \end{cases}$$

AD Greenwood and JM Jin, IEEE Transactions on Antennas and Propagation, 47, 620-629 (1999).



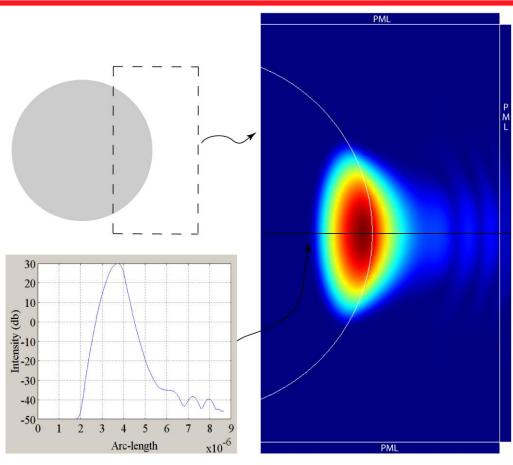


#### Our FEM model

- Full vectorial model No transverse approximation
- PML along the computation box
- Important parameters can be extracted for various cavity geometries accurately:
  - Quality factors
  - Mode Volumes
  - Resonant Frequencies
  - Shapes of fundamental and higher order modes
- Quality factor of the disc resonator with the PML is

$$1.60 \times 10^7$$

- No prior knowledge of the mode frequency is required
- Quality factor for all modes simultaneously
- One time execution of the model

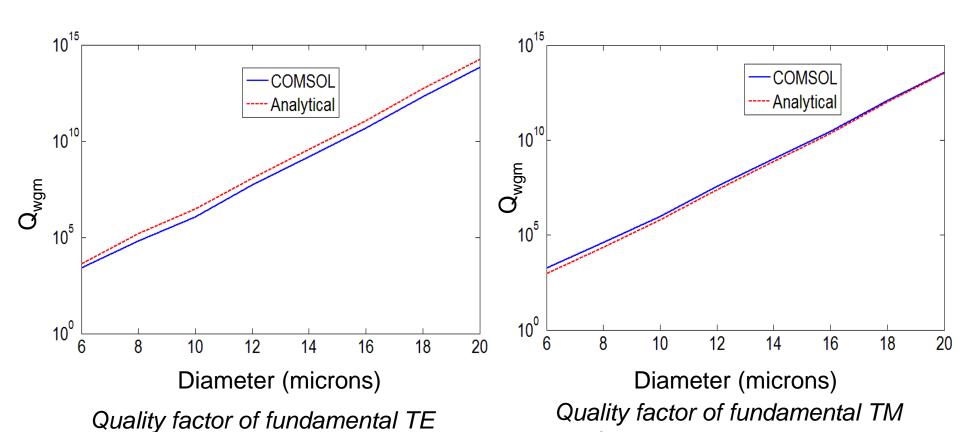


Fundamental TE mode of a 12 microns silica micro-sphere in air (False Colors)





# Results: silica microsphere





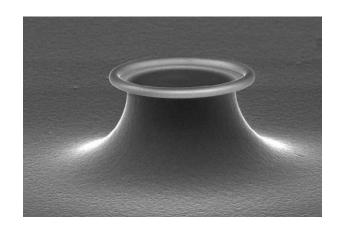
modes at 850nm

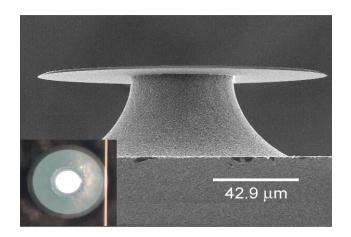


modes at 850nm

#### **Conclusions**

- Excellent agreement between the simulation and analytical results
- Third generation model No transverse approximation and with PML
- Model is applicable to any axisymmetric micro-cavity geometries such as discs and toroids





T.J. Kippenberg, Ph.D. thesis, CALTECH (2004)



